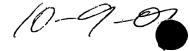
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## PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages Attorney Docket No. 8085-007-999 First Named Inventor or Application Identifier 8085-007-999 Express Mail Label No. | EL 501 641 812 US

APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents.						Assistant Commissioner for Patents  ADDRESS TO: Box Patent Application Washington, DC 20231						
1.	Ø	Fee Transmittal Form Submit an original, and a duplicate for	[Total Pages 2	l 6.	0	Microfiche Computer Program (Appendix)						
2.	×	Specification (preferred arrangement set forth below,	[Total Pages 32 (incl. abstract)	] 7.	0	Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)						
		-Descriptive title of the Invention			a.	□ Computer Readable Copy						
,		oss Reference to Related Applications										
		-Statement Regarding Fed sponsored I	R&D		b.	□ Paper Copy (identical to computer copy)						
	₩.	-Reference to Microfiche Appendix			_	□ Statement verifying identity of above copies □						
	<u>ll</u>	-Background of the Invention			U.	Statement veinging identity of above copies [						
		-Brief Summary of the Invention	mary of the Invention			ACCOMPANYING APPLICATION PARTS						
	u	-Brief Description of the Drawings (if filed)										
		-Detailed Description of the Invention (including drawings, if filed)		8.		Assignment Papers (cover sheet & document(s))						
	U D	-Claim(s) -Abstract of the Disclosure		9.	<ol> <li>9. □ 37 CFR 3.73(b) Statement □ Power of Attorney (when there is an assignee)</li> </ol>							
3.	≅ ⊠	Drawing(s) (35 USC 113)	[Total Sheets 4]	10.		English Translation Document (if applicable)						
4.		Oath or Declaration	[Total Sheets 2]	11.		Information Disclosure						
ě	≕' ≕a.	□ Newly executed (original or copy)		12.		Preliminary Amendment						
dant.	# 1 ====	Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional with Box 17 completed) [Note Box 5 below]			<ul> <li>13.   Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</li> <li>14.   Applicant claims Small Entity status, see 37 C.F.R.   § 1.27</li> </ul>							
1	<del>l.</del>	. DELETION OF INVENTORS(S)										
Signed statement attached deleting inventor(s) named in the p application, see 37 CFR 1.63(d)(2) and 1.33 (b).				15.	15. □ Certified Copy of Priority Document(s) (if foreign priority is claimed)							
5.		Incorporation By Reference (useable if The entire disclosure of the prior applic or declaration is supplied under Box 4b disclosure of the accompanying applicate reference therein.	ation, from which a copy of the oath, is considered as being part of the	16.		Other:						
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:  □ Continuation □ Divisional □ Continuation-in-part (CIP) of prior application No: filed .												
18. CORRESPONDENCE ADDRESS												
Customer Number: 20583				r code	code label here) or □ Correspondence address below							
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## PENNIE & EDMONDS LLP

**COUNSELLORS AT LAW** 1155 Avenue of the Americas New York, N.Y. 10036-2711 (212) 790-9090

ATTORNEY DOCKET NO. 8085-007-999

Date: October 5, 2001

Assistant Commissioner for Patents **Box PATENT APPLICATION** Washington, D.C. 20231

Sir:

The following utility patent application is enclosed for filing:

Applicant(s): Barry M. MINDES and Bernard J. Albanese

Executed on: 5 Oct. 2001

Title of Invention:

SYSTEM AND METHOD FOR PROTECTING POSITIONS IN VOLATILE MARKETS

## PATENT APPLICATION FEE VALUE

TYPE	NO. FILED	LESS	EXTRA EXTRA RATE		FEE	
Total Claims	1	-20	0	\$18.00 each	\$	0.00
Independent	1	-3	0	\$80.00 each	\$	0.00
	\$	710.00				
Multiple Dependency Fee						
	If Applicable (\$270.00)				\$	0.00
	\$	710.00				
·						
	- \$	355.00				
	\$	355.00				

Priority of provisional patent application no. 60/238,193 filed on October 5, 2000 is claimed under U.S.C. § 119(e).

Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.

Respectfully submitted,

By: Leo Merken (Reg. No. 41192)

For: Victor N. Balancia (Reg. No. 31231)

PENNIE & EDMONDS LLP

Enclosure

This form is not for use with continuation, divisional, re-issue, design or plant patent applications.